

## Introduction

Nomura has submitted applications to the relevant EU regulators in respect of the exemption from margin requirements for intragroup transactions under Article 11 of EMIR and Commission Delegated Regulation (EU) 2016/2251 supplementing EMIR with regard to regulatory technical standards on risk mitigation techniques for OTC derivative contracts not cleared by a central counterparty.

### Counterparty pairings approved for the intragroup exemption to the Initial Margin requirements:-

Counterparty 1	Counterparty 2	LEIs of relevant counterparties		Relationship between the counterparties	Full or partial exemption	Notional aggregate amount of the OTC derivative contracts for which the intragroup exemption applies*	Relevant regulator
		Counterparty 1	Counterparty 2				
<b>Nomura entities requiring an exemption from the Financial Conduct Authority (FCA) only</b>							
Nomura International PLC (NIP)	Nomura Securities Co., Ltd. (NSC)	<b>DGQCSV2PHVF7I2743539</b>	<b>XPSKD1VTEQPKCHBEKQ95</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Financial Products & Services, Inc. (NFPS)	<b>DGQCSV2PHVF7I2743539</b>	<b>549300M710DSN29NN391</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Global Financial Products Inc. (NGFP)	<b>DGQCSV2PHVF7I2743539</b>	<b>0Z3VO5H2G7GRS05BHJ91</b>	Affiliate	Full	Unlimited	FCA

Nomura International PLC (NIP)	Nomura Singapore Limited (NSL)	<b>DGQCSV2PHVF7I2743539</b>	<b>5493002W629SO69TA530</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura International (Hong Kong) Limited (NIHK)	<b>DGQCSV2PHVF7I2743539</b>	<b>549300228WV14OKZYD84</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Corporate Funding Americas, LLC (NCFA)	<b>DGQCSV2PHVF7I2743539</b>	<b>549300SALVA4F9X8OO86</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Holding America Inc. (NHA)	<b>DGQCSV2PHVF7I2743539</b>	<b>549300EHC4PYT4R1SL82</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Securities International, Inc. (NSI)	<b>DGQCSV2PHVF7I2743539</b>	<b>OXTKY6Q8X53C9ILVV871</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Bank (Schweiz) AG (NBS)	<b>DGQCSV2PHVF7I2743539</b>	<b>549300FPTTLE2R9UEE81</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Financial Investment (Korea) Co., Ltd (NFIK)	<b>DGQCSV2PHVF7I2743539</b>	<b>549300BYOD6JPDH1Y529</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Investments (Singapore) Pte. Ltd.	<b>DGQCSV2PHVF7I2743539</b>	<b>549300S6ONUHIO7CPV56</b>	Affiliate	Full	Unlimited	FCA

	(NISP)						
Nomura International PLC (NIP)	Nomura Special Investments Singapore Pte. Ltd. (NSIS)	<b>DGQCSV2PHVF7I2743539</b>	<b>5493002GOUSAKI4HLJ49</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura International Funding Pte. Ltd. (NIF)	<b>DGQCSV2PHVF7I2743539</b>	<b>5493006YXUX4IZ8XXW98</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Mauritius Limited (NML)	<b>DGQCSV2PHVF7I2743539</b>	<b>549300SJ51PIBGFT8N64</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Securities (Bermuda) Ltd. (NSB)	<b>DGQCSV2PHVF7I2743539</b>	<b>549300VTR6EGLGTZLN45</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Structured Holdings plc (NSH)	<b>DGQCSV2PHVF7I2743539</b>	<b>549300GEVCWJG3QFG688</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Reinsurance 1 IC Limited (NR1IC)	<b>DGQCSV2PHVF7I2743539</b>	<b>549300AUKCCP620VUG64</b>	Affiliate	Full	Unlimited	FCA
Nomura International PLC (NIP)	Nomura Reinsurance 5 IC Limited (NR5IC)	<b>DGQCSV2PHVF7I2743539</b>	<b>549300VVPD22CY0JLD22</b>	Affiliate	Full	Unlimited	FCA
Nomura International	Nomura Asia Pacific	<b>DGQCSV2PHVF7I2743539</b>	<b>549300P1QVXV29YV3Y67</b>	Affiliate	Full	Unlimited	FCA

PLC (NIP)	Holdings Co., Ltd (NAPH)						
Nomura Capital Markets Limited (NCM)	Nomura Securities Co., Ltd. (NSC)	Y8ZGWA7QQA3MFJX01S43	XPSKD1VTEQPKCHBEKQ95	Affiliate	Full	Unlimited	FCA
Nomura Capital Markets Limited (NCM)	Nomura Financial Products & Services, Inc. (NFPS)	Y8ZGWA7QQA3MFJX01S43	549300M710DSN29NN391	Affiliate	Full	Unlimited	FCA
Nomura Capital Markets Limited (NCM)	Nomura Global Financial Products Inc. (NGFP)	Y8ZGWA7QQA3MFJX01S43	0Z3VO5H2G7GRS05BHJ91	Affiliate	Full	Unlimited	FCA
Nomura Capital Markets Limited (NCM)	Nomura Financial Investment (Korea) Co., Ltd (NFIK)	Y8ZGWA7QQA3MFJX01S43	549300BYOD6JPDH1Y529	Affiliate	Full	Unlimited	FCA
Nomura Bank International PLC. (NBI)	Nomura Global Financial Products Inc. (NGFP)	WGII3666BEDR02O24131	0Z3VO5H2G7GRS05BHJ91	Affiliate	Full	Unlimited	FCA
<b>Nomura entities requiring an exemption from the Financial Conduct Authority (FCA) only and Netherlands Authority for the Financial Markets (AFM)</b>							
Nomura International PLC (NIP)	Nomura Asia Holding N.V. (NAH)	DGQCSV2PHVF712743539	549300LMX7V4ICEWQ259	Affiliate	Full	Unlimited	FCA
Nomura Asia Holding N.V.	Nomura International	549300LMX7V4ICEWQ259	DGQCSV2PHVF712743539	Affiliate	Full	Unlimited	AFM

(NAH)	PLC (NIP)						
Nomura International PLC (NIP)	Nomura Europe Finance N.V. (NEF)	DGQCSV2PHVF7I2743539	549300YTPQODU6656F43	Affiliate	Full	Unlimited	FCA
Nomura Europe Finance N.V. (NEF)	Nomura International PLC (NIP)	549300YTPQODU6656F43	DGQCSV2PHVF7I2743539	Affiliate	Full	Unlimited	AFM
<b>Nomura entities requiring exemptions from Netherlands Authority for the Financial Markets (AFM) only</b>							
Nomura Europe Finance N.V. (NEF)	Nomura Global Financial Products Inc. (NGFP)	549300YTPQODU6656F43	0Z3VO5H2G7GRS05BHJ91	Affiliate	Full	Unlimited	AFM
Nomura Europe Finance N.V. (NEF)	Nomura Securities Co., Ltd. (NSC)	549300YTPQODU6656F43	XPSKD1VTEQPKCHBEKQ95	Affiliate	Full	Unlimited	AFM
Nomura Europe Finance N.V. (NEF)	Nomura Financial Products & Services, Inc. (NFPS)	549300YTPQODU6656F43	549300M710DSN29NN391	Affiliate	Full	Unlimited	AFM
Nomura Asia Holding N.V. (NAH)	Nomura Securities Co., Ltd. (NSC)	549300LMX7V4ICEWQ259	XPSKD1VTEQPKCHBEKQ95	Affiliate	Full	Unlimited	AFM
<b>Nomura entities requiring an exemption from the Financial Conduct Authority (FCA) and Commission de Surveillance du Secteur Financier (CSFF)</b>							
Nomura International PLC (NIP)	Nomura Bank (Luxembourg) S.A. (NBL)	DGQCSV2PHVF7I2743539	6Y69KXPE1SI1ZTYB3823	Affiliate	Full	Unlimited	FCA
Nomura Bank (Luxembourg)	Nomura International	6Y69KXPE1SI1ZTYB3823	DGQCSV2PHVF7I2743539	Affiliate	Full	Unlimited	CSFF

S.A. (NBL)	PLC (NIP)						
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**\*'Unlimited' denotes that the relevant regulator has not placed a limit on the notional amount of non-centrally cleared derivatives that may be put in place.**