

We set out below our audited Country by Country Reporting disclosure under CRD IV.

Year Ended 31 March 2019

Geographical Location	Turnover US\$'000	Full Time Equivalent Employees	PBT / (LBT) US\$000's	Corporation Tax Paid US\$000's	Public Subsidies Received US\$000's
UK	1,274,278	2,022	(383,723)	0	0
Luxembourg	92,202	344	27,027	8,313	0
Italy	20,827	34	5,452	(868)	0
Spain	21,917	42	4,912	1,601	0
France	35,883	61	(471)	0	0
Germany	44,315	64	1,012	1,104	0
Sweden	579	1	31	15	0
Finland	2,015	2	859	168	0
UAE	13,884	26	2,551	0	0
South Africa	3,472	10	326	100	0
Qatar	713	1	66	7	0
Turkey	0	3	0	0	0
Austria	0	1	0	0	0
Japan	0	4	0	0	0
Netherlands	7,893	1	2,638	1,112	0
Bahrain	0	1	0	0	0
	1,517,978	2,617	(339,320)	11,552	0

Turnover represents total income before impairment and operating expenses, but after net interest, net commissions/fee income, investment and trading income.

The numbers above represent an aggregation of the institutions under the Nomura Europe Holdings PLC group, being Nomura International PLC, Nomura Bank International PLC, Nomura Alternative Investment Management (Europe) Ltd, Nomura Bank (Luxembourg) SA, Banque Nomura France SA, Nomura Financial Products Europe GmbH and Nomura Alternative Investment Management France. Intra-group transactions between these institutions have not been eliminated. Turnover and profit before tax reported are based on local statutory financial statements, in accordance with local accounting requirements in each country.

Nature of Activities	Category
- trading and sales in fixed income and equity products, including related derivatives; investment banking services - asset and principal finance business; and corporate finance & private equity	Type A
- issuance of guaranteed credit and equity linked notes and certificates; - provision of sub-participations and structured loans (including bridge and warehouse financing); - purchase of structured credit assets and structured loans; - provision of traditional banking products such as loans and credit facilities in major currencies; - repurchase and reverse repurchase transactions, letters of credit and guarantees; and - taking deposits (including foreign exchange and other reference linked deposits)	Type B
- investment management	Type C
- undertake depository bank clearing and custody services - undertake general fund administration services	Type D

Geographical Location	Category	Name of Principle Entities
UK	Type A	Nomura International PLC
	Type C	Nomura Alternative Investment Management (Europe) Ltd
	Type A	Nomura RPS Limited
	Type A	Nomura Private Equity Investment GP Limited
	Type B	Nomura Bank International PLC
Luxembourg	Type D	Nomura Bank (Luxembourg) SA
	Type D	Global Funds Trust Company
	Type D	Global Funds Management SA
	Type D	Master Trust Company
Italy	Type A	Nomura International PLC - Italian Branch
	Type A	Nomura Financial Products Europe GmbH - Italian Branch
Spain	Type A	Nomura International PLC - Sucursal en España
	Type A	Nomura Financial Products Europe GmbH - Sucursal en España
France	Type B	Banque Nomura France SA
	Type C	Nomura Alternative Investment Management France
	Type A	Nomura Financial Products Europe GmbH - France Branch
Germany	Type A	Nomura International PLC - German branch
	Type A	Nomura Financial Products Europe GmbH
Sweden	Type A	Nomura International PLC - Swedish Branch
	Type A	Nomura Financial Products Europe GmbH - Swedish Branch
Finland	Type A	Nomura International PLC - Suomen Sivuliike
	Type A	Nomura Financial Products Europe GmbH - Suomen Sivuliike
UAE	Type A	Nomura International PLC - Dubai Branch
Qatar	Type A	Nomura International PLC - Qatar Financial Centre Branch
Turkey	Type B	Nomura Bank International PLC - Istanbul Liaison Office
Austria	Type A	Nomura International PLC - Vienna Rep Office
South Africa	Type A	Nomura International plc-South Africa Branch
Netherlands	Type A	Nomura Financial Products Europe GmbH - Netherlands Branch

* Authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority (FCA) and the Prudential Regulation Authority (PRA)

** Authorised and regulated by the Financial Conduct Authority (FCA)

*** Regulated by the Commission de Surveillance du Secteur Financier (CSSF)

**** Regulated by the Prudential and Resolution Control Authority (ACPR)

***** Federal Financial Supervisory Authority (BaFin)

***** Authorised and regulated by the French Autorité des Marchés Financiers ("AMF")